

Securities Law

Both small startups and large companies must comply with securities laws.

As a business owner, you need a team of securities attorneys that can provide you with practical and legal advice to ensure you avoid violating state and federal securities laws. And if you are in search of such a team, we invite you to look to the securities attorneys at Seigfreid Bingham to help you achieve your objectives.

If you are raising money through a private placement or other offering, let us help you.

Generally speaking, any time you raise money from a third party as an investment in your business, you must comply with state and federal laws regarding disclosures, registrations, and more. These filings are of critical importance to you and your business to ensure you avoid securities law violation claims.

As you are raising money, we can help you accomplish your goals by handling the paperwork and performing the due diligence you need throughout the transaction. In particular, we have experience working with clients on exempt transactions and private placement offerings and we are happy to put that skill to work for you as well.

You can count on us to help you remain compliant with ever-changing securities laws.

Even after you have raised money, your work is not complete. You must be diligent in managing your business so as not to violate the ever-changing landscape of state and federal securities laws. To help, we can represent you and provide guidance on filings you may have to complete at the state and federal level.



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Related Services

Employee Benefits

Tax Law

Mergers & Acquisitions

Corporate Law

Further, if arbitration or litigation arises with respect to your securities, our litigation team will work aggressively to protect your rights. For example, we regularly represent brokerage firms and individual investors in FINRA arbitrations and other forums, and would be interested in providing the same services to your organization if necessary.

Attorneys



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